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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/028,471

12/19/2001

Raymond Moore

020375-004500US

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834 CONFIRMATION NO. 1898
FORMALITIES LETTER
**C000000007378202*

Date Mailed: 01/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





PTO/SB/21 (08-00)

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Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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PE			Appli	cati n Number	10/028,471					
/O'TRANSMITTAL			Filing		December 19, 2001					
APR 1 1 2002 EORM				Nam d Inventor	Moore, Raymond					
\						aymond				
To be used for all orrespondence after initial filing)			 	Art Unit	2171					
TRADEMART			Exami	ner Name	Unassign	ed				
Total Number of Pages	s in This Submission		Attorn	ey Docket Number	020375-0	04500US				
ENCLOSURES (check all that apply)										
Fee Transmittal Form Assigni			ment Par Application		After A Group	llowance Communication to				
Fee Attached	☐ Drawing(s)				Communication to Board of s and Interferences					
Amendment / Res	Licensing-related Papers				Communication to Group Notice, Brief, Reply Brief)					
After Final		Petition Routing Slip (PTO/SB/69) and Accompanying Petition			Proprie	tary Information				
Affidavits/dec		to Convonal App		☐ Status	Letter					
Extension of Time Request			of Attorno 37 CFR 3	ey and Statement 3.73(b)		Enclosure(s) identify below):				
Express Abandonment Request		al Disclai st for Ref		Return Pos Executed D						
☐ Information Disclosure Statement		CD, Number of CD(s)				or reduce to 1 lie wildsing 1 arts				
Certified Copy of Priority Document(s)		Remarks The Commissioner is a Deposit Account 20-14				charge any additional fees to				
Response to Missing Parts/ Incomplete Application				l						
Response to Missing Parts under 37 CFR 1.52 or 1.53										
	SIGNA	TURE OF A	APPLICA	ANT, ATTORNEY, O	R AGENT					
Firm and	Townsend and Townsend and Crew LLP									
Individual name	Patrick M. Boucher	• 		Reg. No	. 44,037 ——————					
Signature	Etal De									
Date	Date March 28, 2002									
CERTIFICATE OF MAILING										
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: March 28, 2002										
Typed or printed nam	e Nina L. McNe	ill								
Signature	nina) 40 /	Mil	Meill	Date	March 28, 2002				

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DE 7066611 v1

	Complete if Known				
THE TRANSMITTAL	Application Number	10/028,471			
6 for FY 2001	Filing Date	December 19, 2001			
APR 1 1 2002 (پي	First Named Inventor	Moore, Raymond Unassigned			
Patent fees are subject to annual revision.	Examiner Name				
in the second se	Group Art Unit	2171			
TOTAL AMOUNT OF PAYMENT (\$) 170	Attorney Docket No.	020375-004500US			

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METHOD OF PAYMENT					FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:				3. ADDITIONAL FEES							
Depo				ind credit any or	ver payments to:	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Account 20-1430 Number		105	130	205	65	Surcharge - late filing fee or oath	130				
Deno	Deposit						50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Account Townsend and Townsend and Crew LLP				139	130	139	130	Non-English specification			
Name						147	2,520	147	2,520	For filing a request for reexamination	
☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17					112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
Applicant claims small entity status. See 37 CFR 1.27					113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
2. 🔲	Payme	nt Enclos	sed:			115	110	215	55	Extension for reply within first month	
☐ Check ☐ Credit card ☐ Money ☐ Other					116	400	216	200	Extension for reply within second month		
				Order		117	920	217	460	Extension for reply within third month	
FEE CALCULATION 1. BASIC FILING FEE						118	1,440	218	720	Extension for reply within fourth month	
Large	Entity S		Entity			128	1,960	228	980	Extension for reply within fifth month	
Fee				ee Description		119	320	219	160	Notice of Appeal	
Code	***		(\$)		Fee Paid	120	320	220	160	Filing a brief in support of an appeal	
101				Itility filing fee		121	280	221	140	Request for oral hearing	
106 107				Plant filing fee	-	138	1,510	138	1,510	Petition to institute a public use proceeding	
108	740	208 3	370 R	Reissue filing fee	,	140	110	240	55	Petition to revive – unavoidable	
114	160	214 8	80 P	Provisional filing	fee	141	1,280	241	640	Petition to revive – unintentional	
						142	1,280	242	640	Utility issue fee (or reissue)	
SUBTOTAL (1) (\$)					143	460	243	230	Design issue fee	<u></u>	
2. EXTRA CLAIM FEES						144	620	244	310	Plant issue fee	
			E	xtra Fee	from Fee	122	130	122	130	Petitions to the Commissioner	
Claims below Paid Total Claims					123	50	123	50	Petitions related to provisional applications		
Independen Claims	t [-3**	=	×	=	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent				x	=	581	40	581	40	Recording each patent assignment per property (times number of properties)	40
Large Fee	Entity Fee	Smail Fee	Entity Fee	Ess Descript	iaa	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code	(\$)	Code	(\$)	Fee Descripti		149	740	249	370	For each additional invention to be	
103	18	203	9	Claims in exce		I				examined (37 CFR § 1.129(b))	
102	84	202	42	•	daims in excess of 3 ndent claim, if not paid	179	740	279	370	Request for Continued Examination (RCE)	
104 109	280 84	204 209	140 42	** Reissue ind	169	900	169	900	Request for expedited examination of a design application		
110	18	210	9	original patent ** Reissue cla over original p	ims in excess of 20 and	Other fee (specify)					
			SU	BTOTAL (2)	(\$)		ommissio ove noted			ed to charge any additional fees to unt.	
**or num	ber previo	uslv paid. i	if greater:	For Reissues, se	e above	*Redu	ced by Ba	asic Filin	g Fee Pa	aid SUBTOTAL (3) (\$)170	

SUBMITTED BY					Complete (if applicable)		
Name (Print/Type)	Patrick M. Boucher	Registration No. (Attorney/Agent)	44,037	Telephone	303-571-4000		
Signature	file to	Petel Fee			March 28, 2002		

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